



## NEW CRITERIA FOR STARLIKENESS IN THE UNIT DISC

Milutin Obradović<sup>1</sup> and Nikola Tuneski<sup>2</sup>

<sup>1</sup> Department of Mathematics, Faculty of Civil Engineering  
 University of Belgrade, Bulevar Kralja Aleksandra, 11000 Belgrade, Serbia

<sup>2</sup> Department of Mathematics and Informatics, Faculty of Mechanical Engineering  
 Ss. Cyril and Methodius University in Skopje, Karpoš II b.b.  
 1000 Skopje, Republic of North Macedonia

ORCID IDs: Milutin Obradović  
 Nikola Tuneski

 <https://orcid.org/0000-0001-8890-0764>  
 <https://orcid.org/0000-0003-3889-0048>

**Abstract.** It is well-known that the condition  $\operatorname{Re} \left[ 1 + \frac{zf''(z)}{f'(z)} \right] > 0$ ,  $z \in \mathbb{D}$ , implies that  $f$  is starlike function (i.e. convexity implies starlikeness). If the previous condition is not satisfied for every  $z \in \mathbb{D}$ , then it is possible to get new criteria for starlikeness by using  $\left| \arg \left[ \alpha + \frac{zf''(z)}{f'(z)} \right] \right|$ ,  $z \in \mathbb{D}$ , where  $\alpha > 1$ .

**Keywords:** Starlike functions, analytic function criteria, argument conditions.

### 1. Introduction and definitions

Let  $\mathcal{A}$  be the class of functions  $f$  which are analytic in the open unit disc  $\mathbb{D} = \{z : |z| < 1\}$  of the form  $f(z) = z + a_2z^2 + a_3z^3 + \dots$ , and let  $\mathcal{S}$  be the subclass of  $\mathcal{A}$  consisting of functions that are univalent in  $\mathbb{D}$ .

Also, let

$$\begin{aligned} \mathcal{C} &= \left[ f \in \mathcal{A} : \operatorname{Re} \left[ 1 + \frac{zf''(z)}{f'(z)} \right] > 0, (z \in \mathbb{D}) \right], \\ \mathcal{S}^* &= \left[ f \in \mathcal{A} : \operatorname{Re} \left[ \frac{zf'(z)}{f(z)} \right] > 0, (z \in \mathbb{D}) \right], \end{aligned}$$

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Corresponding Author: N. Tuneski

E-mail addresses: obrad@grf.bg.ac.rs (M. Obradović), nikola.tuneski@mf.edu.mk (N. Tuneski)

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denote the classes of convex and starlike functions, respectively. It is well-known that

$$f \in \mathcal{C} \quad \Rightarrow \quad f \in \mathcal{S}^*,$$

(see Duren [1]). If the condition for convexity,  $\operatorname{Re} \left[ 1 + \frac{zf''(z)}{f'(z)} \right] > 0$ , is not satisfied for every  $z \in \mathbb{D}$ , we cannot conclude that  $f \in \mathcal{C}$ , and consequently,  $f \in \mathcal{S}^*$ .

In this paper  $f \in \mathcal{S}^*$  in terms of the expression

$$\left| \arg \left[ \alpha + \frac{zf''(z)}{f'(z)} \right] \right|, \quad (z \in \mathbb{D})$$

where  $\alpha > 1$ .

## 2. Main results

For our consideration, we need the next lemma given by Nunokawa in [3].

**Lemma A.** *Let  $p(z) = 1 + p_1z + p_2z^2 + \dots$  be analytic in the unit disc  $\mathbb{D}$  and  $p(z) \neq 0$  for  $z \in \mathbb{D}$ . Also, let's suppose that there exists a point  $z_0 \in \mathbb{D}$  such that  $\operatorname{Re} p(z) > 0$  for  $|z| < |z_0|$  and  $\operatorname{Re} p(z_0) = 0$ , where  $p(z_0) \neq 0$ , i.e.  $p(z_0) = ia$ ,  $a$  is real and  $a \neq 0$ . Then we have*

$$\frac{z_0 p'(z_0)}{p(z_0)} = ik,$$

where  $k \geq \frac{1}{2} \left( a + \frac{1}{a} \right)$ , when  $a > 0$ , and  $k \leq -\frac{1}{2} \left( |a| + \frac{1}{|a|} \right)$ , when  $a < 0$ .

**Theorem 2.1.** *Let  $f \in \mathcal{A}$ ,  $\alpha > 1$ , and let*

$$(2.1) \quad \left| \arg \left[ \alpha + \frac{zf''(z)}{f'(z)} \right] \right| < \operatorname{arctg} \frac{\sqrt{3}}{\alpha - 1} \quad (z \in \mathbb{D}).$$

*Then  $f \in \mathcal{S}^*$ .*

*Proof.* If we put that  $p(z) = \frac{zf'(z)}{f(z)}$ , then  $p(0) = 1$  and  $\frac{zp'(z)}{p(z)} + p(z) - 1 = \frac{zf''(z)}{f'(z)}$ . So, we would need to prove that the following implication holds:

$$(2.2) \quad \left| \arg \left[ \frac{zp'(z)}{p(z)} + p(z) + \alpha - 1 \right] \right| < \operatorname{arctg} \frac{\sqrt{3}}{\alpha - 1} \quad (z \in \mathbb{D})$$

$$(2.3) \quad \Rightarrow \quad \operatorname{Re} p(z) > 0 \quad (z \in \mathbb{D}).$$

First, we will prove that  $p(z) \neq 0, z \in \mathbb{D}$ . On the contrary, if there exists  $z_1 \in \mathbb{D}$  such that  $z_1$  is the zero of order  $m$  of the function  $p$ , then  $p(z) = (z - z_1)^m p_1(z)$ , where  $m$  is positive integer,  $p_1$  is analytic in  $\mathbb{D}$  with  $p_1(z_1) \neq 0$ , and further

$$\frac{zp'(z)}{p(z)} = \frac{mz}{z - z_1} + \frac{zp_1'(z)}{p_1(z)}.$$

This means that the real part of the right hand side can tend to  $-\infty$  when  $z \rightarrow z_1$ , which is a contradiction to the assumption of the theorem regarding the argument. Thus  $p(z) \neq 0$ ,  $z \in \mathbb{D}$ .

Now, let's suppose that the implication (2.2) does not hold in the unit disc. It means that there exists a point  $z_0 \in \mathbb{D}$  such that  $\operatorname{Re} p(z) > 0$  for  $|z| < |z_0|$  and  $\operatorname{Re} p(z_0) = 0$ , where  $p(z_0) \neq 0$ . If we put  $p(z_0) = ia$ ,  $a$  is real and  $a \neq 0$ , then by Lemma A, we have

$$\frac{z_0 p'(z_0)}{p(z_0)} = ik,$$

where  $k \geq \frac{1}{2} \left(a + \frac{1}{a}\right)$ , when  $a > 0$ , and  $k \leq -\frac{1}{2} \left(|a| + \frac{1}{|a|}\right)$ , when  $a < 0$ .

Next, if we put  $\Phi(z) = \frac{z p'(z)}{p(z)} + p(z) + \alpha - 1$ , then by the previous facts:

$$\operatorname{Re} \Phi(z_0) = \alpha - 1, \quad \operatorname{Im} \Phi(z_0) = k + a,$$

which for  $a > 0$  implies:

$$\begin{aligned} \arg \Phi(z_0) &= \operatorname{arctg} \frac{k+a}{\alpha-1} \geq \operatorname{arctg} \frac{\frac{1}{2} \left(a + \frac{1}{a}\right) + a}{\alpha-1} \\ &= \operatorname{arctg} \frac{3a + \frac{1}{a}}{2(\alpha-1)} \geq \operatorname{arctg} \frac{\sqrt{3}}{\alpha-1}, \end{aligned}$$

because the function  $\varphi(a) = 3a + \frac{1}{a}$  has its minimum value  $\varphi(\frac{1}{\sqrt{3}}) = 2\sqrt{3}$ . Similarly, for  $a < 0$ :

$$\begin{aligned} \arg \Phi(z_0) &= \operatorname{arctg} \frac{k+a}{\alpha-1} \leq \operatorname{arctg} \frac{-\frac{1}{2} \left(|a| + \frac{1}{|a|}\right) - |a|}{\alpha-1} \\ &= -\operatorname{arctg} \frac{3|a| + \frac{1}{|a|}}{2(\alpha-1)} \leq -\operatorname{arctg} \frac{\sqrt{3}}{\alpha-1}. \end{aligned}$$

Combining the cases  $a > 0$  and  $a < 0$ , we receive

$$|\arg \Phi(z_0)| \geq \operatorname{arctg} \frac{\sqrt{3}}{\alpha-1},$$

which is a contradiction to the relation (2.1).

This show that  $\operatorname{Re} p(z) = \operatorname{Re} \frac{z f'(z)}{f(z)} > 0$ , for all  $z \in \mathbb{D}$ , i.e. that  $f \in \mathcal{S}^*$ .  $\square$

**Example 2.1.** Let  $f \in \mathcal{A}$  is defined by the condition

$$(2.4) \quad 1 + \frac{z f''(z)}{f'(z)} = (\sqrt{3} + 1) \left( \frac{1+z}{1-z} \right)^{\frac{1}{2}} - \sqrt{3},$$

where we use the principal value of the square root. For real  $z$  close to -1 we have  $\operatorname{Re} \left( 1 + \frac{z f''(z)}{f'(z)} \right) < 0$ , which means that  $f$  is not convex. On the other hand, from (2.4) we have

$$\frac{z f''(z)}{f'(z)} + (\sqrt{3} + 1) = (\sqrt{3} + 1) \left( \frac{1+z}{1-z} \right)^{\frac{1}{2}}$$

and from here

$$\begin{aligned} \left| \arg \left[ \frac{zf''(z)}{f'(z)} + (\sqrt{3} + 1) \right] \right| &\leq \frac{1}{2} \left| \arg \frac{1+z}{1-z} \right| \\ &< \frac{\pi}{4} = \operatorname{arctg} \frac{\sqrt{3}}{(\sqrt{3} + 1) - 1}, \end{aligned}$$

which by Theorem 2.1 (with  $\alpha = \sqrt{3} + 1$ ) implies that  $f \in \mathcal{S}^*$ .

Letting  $\alpha$  tend to 1 in Theorem 2.1, we have the following well-known result.

**Corollary 2.1.** *Let  $f \in \mathcal{A}$  and*

$$\left| \arg \left[ \frac{zf''(z)}{f'(z)} + 1 \right] \right| < \frac{\pi}{2} \quad (z \in \mathbb{D})$$

*Then  $f \in \mathcal{S}^*$ .*

Further, it is easy to verify that the disc with center  $\alpha$  and radius  $\frac{\alpha\sqrt{3}}{\sqrt{3+(\alpha-1)^2}}$  is contained in the angle  $|\arg z| < \operatorname{arctg} \frac{\sqrt{3}}{\alpha-1}$ , and so by using the result of Theorem 2.1, we have that

$$\left| \frac{zf''(z)}{f'(z)} \right| = \left| \left( \frac{zf''(z)}{f'(z)} + \alpha \right) - \alpha \right| < \frac{\alpha\sqrt{3}}{\sqrt{3+(\alpha-1)^2}} \quad (z \in \mathbb{D})$$

implies  $f \in \mathcal{S}^*$ . Since the function  $\varphi(\alpha) =: \frac{\alpha\sqrt{3}}{\sqrt{3+(\alpha-1)^2}}$ ,  $\alpha \geq 1$ , attains its maximal value 2 for  $\alpha = 4$ , we get

**Corollary 2.2.** *Let  $f \in \mathcal{A}$  and*

$$\left| \frac{zf''(z)}{f'(z)} \right| < 2 \quad (z \in \mathbb{D})$$

*Then  $f \in \mathcal{S}^*$ .*

In a similar way as in Theorem 2.1, we can consider the starlikeness problem in connection with the class defined by

$$\mathcal{G} = \left[ f \in \mathcal{A} : \operatorname{Re} \left[ 1 + \frac{zf''(z)}{f'(z)} \right] < \frac{3}{2}, z \in \mathbb{D} \right].$$

Ozaki in [4] proved that if  $f \in \mathcal{G}$ , then  $f$  is univalent in  $\mathbb{D}$ . Later, Umezawa in [6] showed the functions from  $\mathcal{G}$  are convex in one direction. Also, it is shown in the papers [2] and [5] that  $\mathcal{G}$  is subclass of  $\mathcal{S}^*$ .

If  $\operatorname{Re} \left[ 1 + \frac{zf''(z)}{f'(z)} \right] < \frac{3}{2}$  is not satisfied for every  $z \in \mathbb{D}$ , then we can pose a question if for some  $\beta < 1$ , such that

$$(2.5) \quad \operatorname{Re} \left[ \beta + \frac{zf''(z)}{f'(z)} \right] < \frac{3}{2} \quad (z \in \mathbb{D}),$$

is it possible obtain sufficient condition for starlikeness, in a similar way as in Theorem 2.1. The condition (2.5) is equivalent to

$$\operatorname{Re} \left[ \frac{3-2\beta}{2} - \frac{zf''(z)}{f'(z)} \right] > 0 \quad (z \in \mathbb{D}),$$

and we can use similar technique. This lead so

**Theorem 2.2.** *Let  $f \in \mathcal{A}$ ,  $\beta < 1$ , and let*

$$\left| \arg \left[ \frac{3-2\beta}{2} - \frac{zf''(z)}{f'(z)} \right] \right| < \arctg \frac{2\sqrt{3}}{5-2\beta} \quad (z \in \mathbb{D}).$$

*Then  $f \in \mathcal{S}^*$ .*

*Proof.* As in the proof of Theorem 2.1, we put that  $p(z) = \frac{zf'(z)}{f(z)}$ , such that  $p(0) = 1$  and  $\frac{zp'(z)}{p(z)} + p(z) - 1 = \frac{zf''(z)}{f'(z)}$ . Now, our aim is to prove that for some  $\beta < 1$  the following implication holds:

$$(2.6) \quad \left| \arg \left[ \frac{5-2\beta}{2} - \frac{zp'(z)}{p(z)} - p(z) \right] \right| < \arctg \frac{2\sqrt{3}}{5-2\beta} \quad (z \in \mathbb{D})$$

$$(2.7) \quad \Rightarrow \operatorname{Re} p(z) > 0 \quad (z \in \mathbb{D}).$$

First, as in Theorem 2.1 we conclude that  $p(z) \neq 0, z \in \mathbb{D}$ .

Further, if the implication (2.6) is not true, there exists a point  $z_0 \in \mathbb{D}$  such that  $\operatorname{Re} p(z) > 0$  for  $|z| < |z_0|$  and  $\operatorname{Re} p(z_0) = 0$ , where  $p(z_0) \neq 0$ . If we put  $p(z_0) = ia$ ,  $a$  is real and  $a \neq 0$ , then by Lemma A we have

$$\frac{z_0 p'(z_0)}{p(z_0)} = ik,$$

where  $k \geq \frac{1}{2} \left( a + \frac{1}{a} \right)$ , when  $a > 0$ , and  $k \leq -\frac{1}{2} \left( |a| + \frac{1}{|a|} \right)$ , when  $a < 0$ . Also, for

$$\Psi(z) = \frac{5-2\beta}{2} - \frac{zp'(z)}{p(z)} - p(z),$$

using the previous conclusions, we have

$$\operatorname{Re} \Psi(z_0) = \frac{5-2\beta}{2}, \quad \operatorname{Im} \Psi(z_0) = -(k+a).$$

Using the same method as in the proof of Theorem 2.1, we easily conclude that

$$\arg \Psi(z_0) = -\operatorname{arctg} \frac{2(k+a)}{5-2\beta} \leq -\operatorname{arctg} \frac{2\sqrt{3}}{5-2\beta},$$

when  $a > 0$ , and

$$\arg \Psi(z_0) = -\operatorname{arctg} \frac{2(k+a)}{5-2\beta} \geq \operatorname{arctg} \frac{2\sqrt{3}}{5-2\beta},$$

when  $a < 0$ . These facts imply a contradiction of the assumption in (2.6).

So, we have the statement of this theorem.  $\square$

Letting  $\beta$  tend to 1 in Theorem 2.2, we receive

**Corollary 2.3.** *Let  $f \in \mathcal{A}$  and*

$$\left| \arg \left[ \frac{1}{2} - \frac{zf''(z)}{f'(z)} \right] \right| < \operatorname{arctg} \frac{2}{\sqrt{3}} \approx 49.1^\circ \quad (z \in \mathbb{D}).$$

*Then  $f \in \mathcal{S}^*$ .*

As in the case of Theorem 2.1, we can show that the disc with center  $\frac{3-2\beta}{2}$  and radius  $\frac{(3-2\beta)\sqrt{3}}{\sqrt{12+(5-2\beta)^2}}$  is containing in the angle  $|\arg z| \leq \operatorname{arctg} \frac{2\sqrt{3}}{5-2\beta}$ . So, if

$$\left| \frac{zf''(z)}{f'(z)} \right| = \left| \left( \frac{3-2\beta}{2} - \frac{zf''(z)}{f'(z)} \right) - \frac{3-2\beta}{2} \right| < \frac{(3-2\beta)\sqrt{3}}{\sqrt{12+(5-2\beta)^2}},$$

for all  $z \in \mathbb{D}$ , then Theorem 2.2 brings that  $f \in \mathcal{S}^*$ . Since the function  $\psi(\beta) =: \frac{(3-2\beta)\sqrt{3}}{\sqrt{12+(5-2\beta)^2}}$  is decreasing on the interval  $(-\infty, 1]$ , and  $\psi(\beta) \rightarrow \sqrt{3}$ , when  $\beta \rightarrow -\infty$ , we get

**Corollary 2.4.** *Let  $f \in \mathcal{A}$  and*

$$\left| \frac{zf''(z)}{f'(z)} \right| < \sqrt{3} \quad (z \in \mathbb{D}).$$

*Then  $f \in \mathcal{S}^*$ .*

For a starlike function,  $f$  it is not necessary that  $\operatorname{Re} \frac{f(z)}{z} > 0$ ,  $z \in \mathbb{D}$ . For example, for Koebe function  $k(z) = \frac{z}{(1-z)^2}$  we have

$$\operatorname{Re} \frac{k(z)}{z} \Big|_{z=(1+i)/\sqrt{2}} = -(\sqrt{2}+1) < 0,$$

and this also holds for points in  $\mathbb{D}$  close enough to  $\frac{1+i}{\sqrt{2}}$ .

In the next theorem, we give a condition which provides  $\operatorname{Re} \frac{f(z)}{z} > 0$ ,  $z \in \mathbb{D}$ .

**Theorem 2.3.** Let  $f \in \mathcal{A}$ ,  $\gamma \geq 0$ , and let

$$\left| \arg \left[ \frac{zf'(z)}{f(z)} + \gamma \right] \right| < \arctg \frac{1}{1+\gamma} \quad (z \in \mathbb{D}).$$

Then  $\operatorname{Re} \frac{f(z)}{z} > 0$ ,  $z \in \mathbb{D}$ .

*Proof.* We apply the same method as in the previous theorems. In this case, we use  $p(z) = \frac{f(z)}{z}$ , such that  $p(0) = 1$  and  $\frac{zp'(z)}{p(z)} + 1 = \frac{zf'(z)}{f(z)}$ . So, the result we need to prove can be rewritten in the following equivalent form:

$$(2.8) \quad \left| \arg \left[ \frac{zp'(z)}{p(z)} + 1 + \gamma \right] \right| < \arctg \frac{1}{1+\gamma} \quad (z \in \mathbb{D})$$

$$(2.9) \quad \Rightarrow \quad \operatorname{Re} p(z) > 0 \quad (z \in \mathbb{D}).$$

First,  $p(z) \neq 0$  for all  $z \in \mathbb{D}$ .

Next, if the implication (2.8) does not hold in the unit disc, then there exists a point  $z_0 \in \mathbb{D}$  such that  $\operatorname{Re} p(z) > 0$  for  $|z| < |z_0|$  and  $\operatorname{Re} p(z_0) = 0$ , where  $p(z_0) \neq 0$ . If we put  $p(z_0) = ia$ ,  $a$  is real and  $a \neq 0$ , then by Lemma A we have

$$\frac{z_0 p'(z_0)}{p(z_0)} = ik,$$

where  $k \geq \frac{1}{2} \left( a + \frac{1}{a} \right)$ , when  $a > 0$ , and  $k \leq -\frac{1}{2} \left( |a| + \frac{1}{|a|} \right)$ , when  $a < 0$ . Now, for  $a > 0$  we get:

$$\begin{aligned} \arg \left[ \frac{z_0 p'(z_0)}{p(z_0)} + 1 + \gamma \right] &= \arg(ik + 1 + \gamma) = \arctg \frac{k}{1+\gamma} \\ &\geq \frac{\frac{1}{2} \left( a + \frac{1}{a} \right)}{1+\gamma} \geq \arctg \frac{1}{1+\gamma}, \end{aligned}$$

and for  $a < 0$ ,

$$\arg \left[ \frac{z_0 p'(z_0)}{p(z_0)} + 1 + \gamma \right] \leq -\arctg \frac{1}{1+\gamma}.$$

By combining the above conclusions, we receive that

$$\left| \arg \left( \frac{z_0 p'(z_0)}{p(z_0)} + 1 + \gamma \right) \right| \geq \arctg \frac{1}{1+\gamma},$$

which is a contradiction to the assumption in (2.8).  $\square$

For  $\gamma = 0$  in the previous theorem, we have the following result.

**Corollary 2.5.** Let  $f \in \mathcal{A}$  and

$$\left| \arg \frac{zf'(z)}{f(z)} \right| < \frac{\pi}{4} \quad (z \in \mathbb{D}).$$

Then  $\operatorname{Re} \frac{f(z)}{z} > 0$ ,  $z \in \mathbb{D}$ .

In Theorem 2.3 the function  $f$  from  $\mathcal{A}$  need not be starlike as the next example shows.

**Example 2.2.** Let  $f \in \mathcal{A}$  is defined by

$$(2.10) \quad \frac{zf'(z)}{f(z)} = \sqrt{3} \left( \frac{1+z}{1-z} \right)^{\frac{1}{3}} + 1 - \sqrt{3},$$

where we use the principal value for the square root. For real  $z$  close to -1 we have that  $\operatorname{Re} \left[ \frac{zf'(z)}{f(z)} \right] < 0$ , which means that  $f$  is not starlike. But from (2.10) we have

$$\frac{zf'(z)}{f(z)} + (\sqrt{3} - 1) = \sqrt{3} \left( \frac{1+z}{1-z} \right)^{\frac{1}{3}},$$

and from here

$$\begin{aligned} \left| \arg \left[ \frac{zf'(z)}{f(z)} + (\sqrt{3} - 1) \right] \right| &\leq \frac{1}{3} \left| \arg \left( \frac{1+z}{1-z} \right) \right| \\ &< \frac{\pi}{6} = \operatorname{arctg} \frac{1}{(\sqrt{3} - 1) + 1}, \end{aligned}$$

which by Theorem 2.3 (with  $\gamma = \sqrt{3} - 1$ ) implies that  $\operatorname{Re} \frac{f(z)}{z} > 0$ ,  $z \in \mathbb{D}$ .

It is possible to show that the disc with center  $1 + \gamma$  and radius  $\frac{1+\gamma}{\sqrt{1+(1+\gamma)^2}}$  lies in the angle  $|\arg z| \leq \operatorname{arctg} \frac{1}{1+\gamma}$ , and so, by using the result of Theorem 2.3 we have that

$$\left| \frac{zf'(z)}{f(z)} - 1 \right| = \left| \left( \frac{zf'(z)}{f(z)} + \gamma \right) - (1 + \gamma) \right| < \frac{1 + \gamma}{\sqrt{1 + (1 + \gamma)^2}} \quad (z \in \mathbb{D})$$

implies  $\operatorname{Re} \frac{f(z)}{z} > 0$ ,  $z \in \mathbb{D}$ . When  $\gamma \rightarrow +\infty$  we receive

**Corollary 2.6.** Let  $f \in \mathcal{A}$  and

$$\left| \frac{zf'(z)}{f(z)} - 1 \right| < 1 \quad (z \in \mathbb{D}).$$

Then  $\operatorname{Re} \frac{f(z)}{z} > 0$ ,  $z \in \mathbb{D}$ .

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